# The Oklahoma Bankers Association Compliance Solution

Founded in 2011, Compliance Alliance is the only banking industry compliance resource that is owned, operated and managed by 24 State Bankers Associations.

Based on the needs of community bankers, Compliance Alliance provides its members an ever-increasing set of <u>bank compliance tools and services</u> that help them stay up-to-date with consumer and regulatory requirements.

Compliance Alliance members enjoy:

#### **Our All-Access Hotline**

Any employee of a member bank can contact Compliance Alliance to address their regulatory and consumer compliance questions or concerns. The hotline is available from 7 AM to 6 PM Central time by phone, email or live chat. In most cases, our turnaround time is immediate for phone calls or live chats. In the event a question is more complex and additional research must be done, you'll have an answer from our hotline specialists within two hours.

#### **Document Reviews**

Find comfort in knowing that your documents are fully compliant by submitting them for review by our in-house experts. Our team will conduct a full analysis and return documents with recommendations within three business days (depending on the complexity). Reviews are unlimited, so you can edit your documents after addressing our recommendations and resubmit them for additional rounds to ensure full compliance with the regulations.

#### **Our Library of Compliance Tools**

Compliance Alliance provides the most comprehensive list of tools for download and services including, but not limited to:

- Calculators
- Cheat Sheets
- Check Lists
- Compliance Calendar
- Flowcharts
- Forms
- Handouts
- Huddles
- Matrices
- Policies
- Procedures
- Risk Assessments
- Signage
- Summaries
- Training Tools

- Webinars
- Worksheets

If you can't find what you need on our website, <u>contact us</u>. We create tools for our members at no additional charge.

# **Easy Access to Regulations**

The Compliance Alliance website contains quick access to both federal and state regulatory information and allows members to <u>easily search regulations</u> on our website. Our hotline is also available to do the federal research for you!

# **Summaries of New Regulations**

1,500 page regulations have become the norm. Toss the book aside and let Compliance Alliance do the work for you. Our attorneys summarize each new regulation using layman's terms to explain exactly how your bank will be impacted by the changes. Our summaries also include action plans for implementation.

## **Regular Updates on Regulations**

Staying up-to-date can be one of greatest challenges of banking compliance. Compliance Alliance <a href="members receive">members receive</a> daily, weekly and monthly emails noting important news items, overviews of recently added tools and comprehensive information about regulatory requirements and changes. Through consistent communication, we keep our members informed and ahead of the curve when it comes to the latest changes in the baking regulations industry.

### **More About Membership**

Participating in one of our <u>free live demos</u> is the best way to experience our program's benefits. Check out our upcoming <u>live demo dates</u>, and we'll provide you with a guided tour of the Compliance Alliance website and introduce you to all the benefits of membership.

Compliance Alliance is the only all-inclusive compliance resource in the market today. All of our products and services are included in our annual membership fee and we offer unlimited access to all employees of member banks.

### **Your Banking Regulation Experts**

The <u>Compliance Alliance team</u> consists of former regulators, attorneys and compliance specialists. Each expert on our hotline and product development teams also began their careers in banks. We share a passion for, and expertise in, banking and compliance. As a result, Compliance Alliance understands your needs and can offer detailed expertise to help you tackle your most difficult compliance issues and questions.

Become a member today!