

# 2024 Compliance School



**AUGUST 19-23, 2024**  
**HARRIS EVENT CENTER/OBA OKLAHOMA CITY**



## Purpose & Philosophy

The 42nd annual OBA Compliance School is designed to provide an extensive body of knowledge and information on consumer compliance that can contribute to the development of compliance officers and to their associated functions, both of which have become an integral and essential part of our evolutionary banking industry. Students will return to their banks equipped to deal with compliance regulations that impact lending practices and deposit functions as well as other related federal and state laws and regulations.

## Target Audience

The school is designed for those directly involved in the day-to-day compliance function as well as those who are involved in specific areas. Compliance officers, auditors, bank counsel, loan officers, cashiers and bank trainers will benefit from the course. Enrollment is limited to 50 students. OBA-member banks will receive first priority.

## School Plan

The school consists of four-and-a-half days of instruction. Each student must attend each class, and participate in the case study to qualify for a completion certificate.

Each student will be required to attend every class until adjournment on Friday, Aug. 23. An attendance record will be kept and his or her respective bank shall be notified at the close of the session if the student's participation is not satisfactory.

All students are required to take the examination.

## Registration

Students will register at the Oklahoma Bankers Association's Harris Event Center from 8:30-9 a.m. on Monday, Aug. 19. Formal classes are held Monday through Friday. School will conclude by noon on Friday, Aug. 23, 2024.

## Enrollment Requirements/CRCM Credit

All employees of banks, which are members of the Oklahoma Bankers Association, who can benefit from additional training in compliance matters are eligible to attend. It is recommended, however, that students have three years service in banking OR have at least one year's experience in a functional area of banking such as lending officer, compliance officer, auditor, cashier, etc. Enrollment is limited to 50. *Approved for 30 CRCM credits.*

## Dress

Dress for class is informal, but in good taste. Tailored shorts and jeans are acceptable. Plan to bring a light sweater or jacket. A backpack or tote bag would be helpful.

## Accommodations

The Oklahoma Bankers Association has a block of rooms available at the Residence Inn by Marriott OKC Downtown. The hotel is located at 400 E Reno Ave, Oklahoma City, OK 73104. Please call 405-601-1700 and mention the Oklahoma Bankers Association Compliance School room block. The \$120 room rate includes breakfast and parking. Reservations should be made by Monday Aug. 5 to guarantee this rate.

## The Bottom Line

See below for registration fees. This covers all expenses for lunch each day, a one-year subscription to the *Oklahoma Banker* newspaper, books and tuition. Fee must accompany this application. Registration deadline is Aug. 2, 2024. Upon cancellation by Aug. 2, the full fee will be returned to the bank. A \$600 fee will be retained if cancellations are made after Aug. 2 through Aug. 9. Cancellations received after Aug. 9 will be subject to forfeiture of fees. All cancellations must be in writing.

**EARLY BIRD REGISTRATION:** Any registrant enrolling prior to July 19, 2024, will be afforded the following discounted rate: \$1,275 OBA members.

**MULTIPLE REGISTRATIONS:** Any OBA-member bank enrolling two or more students will be afforded the following discounted rate: \$1,275 first student and \$1,175 each additional student.

**REGULAR REGISTRATION:** Single registration fee after July 19: \$1,450 OBA member  
\$2,500 Non-members

## Student Honors Program

Each year, the Board of Regents, staff, faculty and student body select two students as the outstanding graduates. Criteria for the selection of the honors graduates include, but is not limited to: outstanding work on the case study, contribution to and participation in class, and overall contributions within the student body. Awards will be presented to these students once they have returned to their respective banks. Additionally, these two students will be asked to serve as advisory board members for the following year. The recipients must be from OBA-member banks and reside in Oklahoma.

## School Board

### Chairman:

Kathryn Ivey, Shamrock Bank, N.A., Coalgate

### Members:

Madilynn Ashworth, Great Plains Bank, Oklahoma City  
Jennifer Bailey, Community State Bank, Poteau  
LaTisha Blubaugh, Stride Bank, N.A., Enid  
Tan Bui, F&M Bank, Oklahoma City  
Lexi Dills, Grand Savings Bank, Grove  
Erin Goodall, Great Plains Bank, Oklahoma City  
Shawn Haynes, Bank of Oklahoma, Tulsa  
Jason Hensley, City National Bank, Lawton  
Jamie Lamunyon-Perry, Cowboy Bank, Kremlin

Reba Liller, Citizens Bank, Edmond  
Tara Little, Local Bank, Tahlequah  
Shelley McManaman, Valliance Bank, Oklahoma City  
Jennifer Thomure, RCB Bank, Coweta  
Ashlea Yager, Security State Bank, Cheyenne

### Advisory Members:

Bridget Burnett, RCB Bank, Claremore  
Nina Carter, Kirkpatrick Bank, Edmond



# 2024 COMPLIANCE SCHOOL APPLICATION FOR ADMISSION

AUGUST 19-23, 2024

A check payable to the Oklahoma Bankers Association must accompany this application. This fee covers instruction, materials, tuition, refreshment breaks, lunch and a one-year subscription to *Oklahoma Banker*. The registration deadline is August 2, 2024. This institution does not discriminate with regard to race, color, sex or age.

## REGISTRATION

### Early Bird Registration

To qualify, you must enroll by July 19, 2024  
\$1,275 OBA Members

### Multiple Registrations

To qualify, students must be from the same bank. (OBA Members)

\$1,275 First student  
\$1,175 Each additional student

### Regular Registration

After July 19, 2024  
\$1,450 OBA Members  
\$2,500 Non-OBA Members

## REFUND POLICY

Any registrant withdrawing by Aug. 2, 2024, will receive a full refund. A \$600 cancellation fee will be charged for cancellation requests received after Aug. 2 through Aug. 9. Cancellations received after Aug. 9 will be subject to total forfeiture of fees.

**ALL CANCELLATIONS MUST BE IN WRITING.**

I, the undersigned, have read the attached information which describes admission criteria and understand that I will be expected to attend all scheduled classroom and work periods, to prepare all assigned work, to participate in classroom sessions and to successfully complete the final examination to qualify for graduation.

Signature \_\_\_\_\_

The submission of this application has been approved by the bank. (To be signed by the president, department head, personnel officer or other executive authorized by the bank.)

\_\_\_\_\_  
NOMINATING OFFICER'S SIGNATURE

\_\_\_\_\_  
NOMINATING OFFICER'S NAME AND TITLE

### OPTIONAL

I consent to the release to my employer of grades and other measures of performance in courses taken by me at the school.

\_\_\_\_\_  
SIGNATURE

\_\_\_\_\_  
DATE

Please send grades and other measures of performance to:

\_\_\_\_\_

## PLEASE TYPE THE FOLLOWING INFORMATION

\_\_\_\_\_  
NAME (AS YOU WANT IT TO APPEAR ON CERTIFICATE)

\_\_\_\_\_  
NAME OR FIRST NAME (FOR BADGE)

\_\_\_\_\_  
EMAIL ADDRESS

\_\_\_\_\_  
DATE OF BIRTH (MONTH/DAY/YEAR)

\_\_\_\_\_  
AGE

\_\_\_\_\_  
BUSINESS PHONE

\_\_\_\_\_  
MOBILE PHONE

\_\_\_\_\_  
YOUR TITLE

\_\_\_\_\_  
BANK NAME

\_\_\_\_\_  
POST OFFICE BOX

\_\_\_\_\_  
CITY

\_\_\_\_\_  
STATE

\_\_\_\_\_  
ZIP

**Application must be returned by Aug. 2, 2024**

(OVER)

**APPLICANT'S TITLE**

(Check only one)

- 1. \_\_\_ President/CEO
- 2. \_\_\_ Executive VP/Sr. VP
- 3. \_\_\_ Vice Pres./Cashier
- 4. \_\_\_ Asst. VP/Asst. Cashier
- 5. \_\_\_ VP/Loan Officer
- 6. \_\_\_ Asst. VP/Loan Officer
- 7. \_\_\_ Compliance Officer
- 8. \_\_\_ Auditor
- 9. \_\_\_ Admin. Asst./Secy.
- 10. \_\_\_ Loan Review
- 11. \_\_\_ Other (Specify) \_\_\_\_\_

**SIZE OF BANK IN DEPOSITS**

- 1. \_\_\_ \$0-100mm
- 2. \_\_\_ \$100-250mm
- 3. \_\_\_ \$250mm-500mm
- 4. \_\_\_ \$500-750mm
- 5. \_\_\_ \$750mm-1 billion
- 6. \_\_\_ over 1 billion
- 7. \_\_\_ Bank Holding Co.
- 8. \_\_\_ Other

**SIZE OF BANK IN ASSETS**

- 1. \_\_\_ \$0-100mm
- 2. \_\_\_ \$100-250mm
- 3. \_\_\_ \$250mm-500mm
- 4. \_\_\_ \$500-750mm
- 5. \_\_\_ \$750mm-1 billion
- 6. \_\_\_ over 1 billion
- 7. \_\_\_ Bank Holding Co.
- 8. \_\_\_ Other

**TOTAL YEARS IN BANKING**

- 1. \_\_\_ Less than 1
- 2. \_\_\_ 1-3 years
- 3. \_\_\_ 3-5 years
- 4. \_\_\_ 5-10 years
- 5. \_\_\_ 10-15 years
- 6. \_\_\_ 15 years & over

**EDUCATIONAL BACKGROUND**

(Check only one)

- 1. \_\_\_ High School Graduate
- 2. \_\_\_ Some College (Hrs \_\_\_\_\_)
- 3. \_\_\_ Associate Degree
- 4. \_\_\_ Bachelor's-Business
- 5. \_\_\_ Bachelor's-Other
- 6. \_\_\_ Masters-Business
- 7. \_\_\_ Masters-Other
- 8. \_\_\_ Ph.D.
- 9. \_\_\_ Law Degree

**OBA SCHOOLS ATTENDED**

- 1. \_\_\_ Compliance \_\_\_\_\_
- 2. \_\_\_ Consumer Lending \_\_\_\_\_
- 3. \_\_\_ Operations \_\_\_\_\_
- 4. \_\_\_ Commercial Lending \_\_\_\_\_
- 5. \_\_\_ Intermediate \_\_\_\_\_
- 6. \_\_\_ Basic \_\_\_\_\_
- 7. \_\_\_ Other \_\_\_\_\_

**YEAR**

**YEARS IN COMPLIANCE**

- 1. \_\_\_ Less than 1
- 2. \_\_\_ 1-3 years
- 3. \_\_\_ 3-5 years
- 4. \_\_\_ 5-10 years
- 5. \_\_\_ 10 years & over

**BUSINESS EXPERIENCE**

List in chronological order your banking and other business experience. Include dates, bank and other business firms and a brief description of duties.

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Briefly describe your major responsibilities in the bank.

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**EMERGENCY INFORMATION**

In case of emergency, please contact:

NAME	ADDRESS	MOBILE PHONE
RELATIONSHIP	WORK PHONE	HOME PHONE

Please make your check payable to the Oklahoma Bankers Association and mail to:

**Oklahoma Bankers Association**  
**P.O. Box #960173**  
**Oklahoma City, OK 73196-0173**

Contact Janis or Nancy for further information at 405-424-5252 or at janisr@oba.com.

**FOR OBA USE ONLY**

Rec'd	Rt#	By	Amt.	#	k	C
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2024 Compliance School

	Monday, August 19	Tuesday, August 20	Wednesday, August 21	Thursday, August 22	Friday, August 23
	Registration/Continental Breakfast 8:30 – 9:00 Sponsored by Cenox School Overview & Introductions. 9:00	9:00 – 10:30 <b>BSA/CIP/AML</b> <b>Beneficial Ownership</b> <b>Banking MRBs</b> Maureen Carollo	9:00 – 10:30 <b>Reg Z/TRID/RESPA</b> Brae Riley	9:00 – 10:00 <b>Flood Update</b> Lori Jackson	9:00 – 11:00 <b>Case Study</b> <b>Presentations</b>
	Break 9:20 – 9:30 <b>Compliance Management</b> Lori Jackson	Break 10:30 – 10:45 10:45 – 12:15 <b>BSA/CIP/AML</b> -continued-	Break 10:30 – 10:45 10:45 – 12:30 -continued-	Break 10:00 – 10:15 10:15 – 12:00 <b>Real Estate</b> CRA, Reg B/E/COA/1071 Fair Lending, HMDA Brae Riley	11:00 <b>OBA Update</b> 11:30 – 12:00 <b>Graduation/Adjournment</b>
	Lunch 11:30 – 12:15 Sponsored by RDK	Lunch 12:15 – 12:45 Sponsored by Bankers Professional Services, Inc	Lunch 12:30 – 1:30 Fraud Update Sharon Lewis Sponsored by MAI	Lunch 12:00 – 1:00 Sponsored by Forvis Mazars US "Hot Topics" Panel Discussion	
	12:15 – 2:15 <b>Deposit Compliance</b> Shellee Horn	12:45 – 2:15 <b>UDAAP &amp; Complaint Management Panel</b>	1:30 – 3:00 <b>Cyber Security</b> Russ Horn	1:15 – 3:15 <b>Real Estate</b> -continued-	
	Break 2:15 – 2:30	Break 2:15 – 2:30	Break 3:00 – 3:15	Break 3:15 – 3:30	
	2:30 – 3:30 <b>Reg E</b> Shellee Horn 3:45 – 4:45 <b>Reg O</b> Maureen Carollo 4:45 <b>Group Photo</b>	2:30 – 3:45 <b>Vendor Management</b> Jerold Phillips 3:45 – 5:30 <b>Case Study Work Time</b>	3:15 – 4:15 <b>Advertising Compliance</b> Pam Lawson 4:15 – 5:45 <b>Case Study Work Time</b>	3:30 – 5:30 <b>Lending Compliance Update</b> Lori Jackson 5:30 – 6:30 <b>Case Study Work Time</b>	*Schedule 6/17 subject to minor changes